



Sub 1449/PTO				<b>Complete if Known</b>	
<b>FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				Application Number	10/553,685 (Nat'l. Phase of PCT/US2004/008323)
				Filing Date	§371 Date: November 1, 2006
				First Named Inventor	MI, Sha
				Art Unit	1656
				Examiner Name	CARLSON, Karen C.
Sheet	1	of	5	Attorney Docket Number	2159.0440003/EJH/CLD

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if Known)			
	US22	4,444,887	04-24-1984	Michael K. Hoffman	
	US23	4,694,778	09-22-1987	Learn <i>et al.</i>	
	US24	4,716,111	12-29-1987	Osband <i>et al.</i>	
	US25	4,816,397	03-28-1989	Boss <i>et al.</i>	
	US26	4,816,567	03-28-1989	Cabilly <i>et al.</i>	
	US27	4,946,778	08-07-1990	Ladner <i>et al.</i>	
	US28	5,122,464	06-16-1992	Wilson <i>et al.</i>	
	US29	5,223,409	06-29-1993	Ladner <i>et al.</i>	
	US30	5,225,539	07-06-1993	Gregory P. Winter	
	US31	5,258,498	11-02-1993	Huston <i>et al.</i>	
	US32	5,314,995	05-24-1997	Fell, Jr., <i>et al.</i>	
	US33	5,403,484	04-04-1995	Ladner <i>et al.</i>	
	US34	5,413,923	05-09-1995	Kucherlapati <i>et al.</i>	
	US35	5,427,908	06-27-1995	Dower <i>et al.</i>	
	US36	5,516,637	05-14-1996	Huang <i>et al.</i>	
	US37	5,530,101	06-25-1996	Queen <i>et al.</i>	
	US38	5,545,806	08-13-1996	Lonberg <i>et al.</i>	
	US39	5,565,332	10-15-1996	Hoogenboom <i>et al.</i>	
	US40	5,569,825	10-29-1996	Lonberg <i>et al.</i>	
	US41	5,571,698	11-05-1996	Ladner <i>et al.</i>	

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			
	FP24	WO 88/09810 A1	12-15-1988	Synthetic Genetics	
	FP25	WO 89/01036 A1	02-09-1989	Celltech Limited	
	FP26	WO 89/10134 A1	11-02-1989	The Regents of the Univ. of CA	
	FP27	WO 89/12624 A2	12-28-1989	Cetus Corporation	
	FP28	WO 90/02809 A1	03-22-1990	Protein Engineering Corp.	
	FP29	WO 90/11364 A1	10-04-1990	University Patents, Inc.	
	FP30	WO 91/09967 A1	07-11-1991	Celltech Limited	
	FP31	WO 91/10737 A1	07-25-1991	Molecular Affinities Corp.	
	FP32	WO 91/10741 A1	07-25-1991	Cell Genesys, Inc.	
	FP33	WO 91/14438 A1	10-03-1991	Trustees of Columbia Univ. in the City of NY	
	FP34	WO 92/01047 A1	01-23-1992	Cambridge Antibody Tech., Ltd.	

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	US42	5,574,009	11-12-1996	Cohen <i>et al.</i>	
	US43	5,580,717	12-03-1996	Dower <i>et al.</i>	
	US44	5,585,089	12-17-1996	Queen <i>et al.</i>	
	US45	5,589,369	12-31-1996	Seidman <i>et al.</i>	
	US46	5,625,126	04-29-1997	Lonberg <i>et al.</i>	
	US47	5,633,425	05-27-1997	Lonberg <i>et al.</i>	
	US48	5,658,570	08-19-1997	Newman <i>et al.</i>	
	US49	5,658,727	08-19-1997	Barbas <i>et al.</i>	
	US50	5,661,016	08-26-1997	Lonberg <i>et al.</i>	
	US51	5,693,761	12-02-1997	Queen <i>et al.</i>	
	US52	5,693,762	12-02-1997	Queen <i>et al.</i>	
	US53	5,693,780	12-02-1997	Newman <i>et al.</i>	
	US54	5,698,426	12-16-1997	William D. Huse	
	US55	5,725,859	03-10-1998	Omer	
	US56	5,733,743	03-31-1998	Johnson <i>et al.</i>	
	US57	5,750,753	05-12-1998	Kimae <i>et al.</i>	
	US58	5,756,096	05-26-1998	Newman <i>et al.</i>	
	US59	5,772,997	06-30-1998	Hudziak <i>et al.</i>	
	US60	5,780,225	07-14-1998	Wigler <i>et al.</i>	
	US61	5,807,715	09-15-1998	Morrison <i>et al.</i>	
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	FP35	WO 92/08495 A1	05-29-1992	Abbott Biotech, Inc.	
	FP36	WO 92/18619 A1	10-29-1992	The Scripps Research Inst.	
	FP37	EP 0 519 596 A1	12-23-1992	Merck & Co. Inc.	
	FP38	WO 92/22324 A1	12-23-1992	Xoma Corporation	
	FP39	WO 93/11236 A1	06-10-1993	Medical Research Council; Cambridge Antibody Technology Ltd.	
	FP40	EP 0 396 387 B1	12-22-1993	Research Development Foundation	
	FP41	EP 0 368 684 B1	03-09-1994	Medical Research Council	
	FP42	WO 94/09817 A1	05-11-1994	City of Hope	
	FP43	EP 0 239 400 B1	08-03-1994	Medical Research Council	

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	US63	5,814,318	09-29-1998	Lonberg <i>et al.</i>	
	US64	5,821,047	10-13-1998	Garrard <i>et al.</i>	
	US65	5,837,821	11-17-1998	Anna M. Wu	
	US66	5,892,019	04-06-1999	Schlom <i>et al.</i>	
	US67	5,914,237	06-22-1999	Godowski <i>et al.</i>	
	US68	5,939,598	08-17-1999	Kucherlapati <i>et al.</i>	
	US69	5,969,108	10-19-1999	McCafferty <i>et al.</i>	
	US70	6,025,145	02-15-2000	Godowski <i>et al.</i>	
	US71	6,054,561	04-25-2000	Ring	
	US72	6,075,181	06-13-2000	Kucherlapati <i>et al.</i>	
	US73	6,150,584	11-21-2000	Kucherlapati <i>et al.</i>	
	US74	6,159,730	12-12-2000	Mitchell E. Reff	
	US75	6,180,370 B1	10-30-2001	Queen <i>et al.</i>	
	US76	6,280,964 B1	08-28-2001	Kavanaugh <i>et al.</i>	
	US77	6,333,169 B1	12-25-2001	Hudziak <i>et al.</i>	
	US78	6,387,371 B1	05-14-2002	Hudziak <i>et al.</i>	
	US79	6,399,063 B1	06-04-2002	Hudziak <i>et al.</i>	
	US80	6,413,777 B1	07-02-2002	Reff <i>et al.</i>	
	US81	6,420,140 B1	07-16-2002	Hori <i>et al.</i>	
	US82	6,458,592 B1	10-01-2002	Jakobovits <i>et al.</i>	
	US83	6,696,290 B2	02-24-2004	Fitzpatrick <i>et al.</i>	

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			
	FP44	WO 95/15982 A2	06-15-1995	Genzyme Corporation	
	FP45	WO 95/20401 A1	08-03-1995	Trustees of Boston Univ.	
	FP46	EP 0 401 384 B1	03-13-1996	Kirin-Amgen Inc.	
	FP47	WO 96/33735 A1	10-31-1996	Cell Genesys, Inc.	
	FP48	WO 96/34096 A1	10-31-1996	Cell Genesys, Inc.	
	FP49	WO 97/00271 A1	01-03-1997	The Regents of the University of California	
	FP50	WO 98/16654 A1	04-23-1998	Japan Tobacco, Inc.; Abgenix, Inc.	
	FP51	WO 98/24893 A2	06-11-1998	Abgenix, Inc.	
	FP52	WO 98/46645 A2	10-22-1998	Peter KUFER	

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	NPL33	Battaglia, G., <i>et al.</i> , "Protective role of group-II metabotropic glutamate receptors against nigro-striatal degeneration induced by 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine in mice," <i>Neuropharmacol.</i> 45:155-166, Elsevier Science Ltd. (2003)	
	NPL34	Baulida, J., <i>et al.</i> , "All ErbB Receptors Other Than the Epidermal Growth Factor Receptor Are Endocytosis Impaired," <i>J. Biol. Chem.</i> 271:5251-5257, The American Society for Biochemistry and Molecular Biology, Inc. (1996)	
	NPL35	Baulida, J. and Carpenter, G., "Heregulin Degradation in the Absence of Rapid Receptor-Mediated Internalization," <i>Exp. Cell Res.</i> 232:167-172, Academic Press (1997)	
	NPL36	Baumann, N., <i>et al.</i> , "Biology of Oligodendrocyte and Myelin in the Mammalian Central Nervous System," <i>J. Physiol. Rev.</i> 81:871-927, American Physiological Society (2001)	
	NPL37	Blum, M., "A null mutation in TGF- $\alpha$ leads to a reduction in midbrain dopaminergic neurons in the substantia nigra," <i>Nat. Neurosci.</i> 1:374-377, Nature Publishing Group (1998)	
	NPL38	Brundin, P., <i>et al.</i> , "The rotating 6-hydroxydopamine-lesioned mouse as a model for assessing functional effects of neuronal grafting," <i>Brain Res.</i> 366:346-349, Elsevier Publishers B.V. (1986)	
	NPL39	Chang, A., <i>et al.</i> , "Premyelinating Oligodendrocytes in Chronic Lesions of Multiple Sclerosis," <i>N. Engl. J. Med.</i> 346:165-173, Massachusetts Medical Society (2002)	
	NPL40	Citri, A., <i>et al.</i> , "The deaf and the dumb: The biology of ErbB-2 and ErbB-3," <i>Exp. Cell Res.</i> 284:54-65, Elsevier Science (2003)	
	NPL41	Cohen, S., <i>et al.</i> , "Nonchromosomal Antibiotic Resistance in Bacteria: Genetic Transformation of <i>Escherichia coli</i> by R-Factor DNA," <i>Proc. Natl. Acad. Sci. USA</i> 69:2110-2114 (1972)	
	NPL42	Csordás, G., <i>et al.</i> , "Sustained Down-regulation of the Epidermal Growth Factor Receptor by Decorin," <i>J. Biol. Chem.</i> 275:32879-32887, The American Society for Biochemistry and Molecular Biology, Inc. (2000)	

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	NPL43	Eby, M.T., <i>et al.</i> , "TAJ, a Novel Member of the Tumor Necrosis Factor Receptor Family, Activates the c-Jun N-terminal Kinase Pathway and Mediates Caspase-independent Cell Death," <i>J. Biol. Chem.</i> 275:15336-15342, The American Society for Biochemistry and Molecular Biology, Inc. (2000)	
	NPL44	Fendly, B.M., <i>et al.</i> , "The Extracellular Domain of HER2/neu Is a Potential Immunogen for Active Specific Immunotherapy of Breast Cancer," <i>J. Biol. Resp. Mod.</i> 9:449-455, Raven Press Ltd. (1990)	
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	NPL46	Fuxe, K. and Ungerstedt, U., "Antiparkinsonian Drugs and Dopaminergic Neostriatal Mechanisms: Studies in Rats with Unilateral 6-Hydroxydopamine (=6-OH-DA)-Induced Degeneration of the Nigro-Neostriatal DA Pathway and Quantitative Recording of Rotational Behaviour," <i>Pharmac. Ther. B</i> :41-47, Pergamon Press (1976)	
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	NPL50	Gille, G., <i>et al.</i> , "Oxidative Stress to Dopaminergic Neurons as Models of Parkinson's Disease," <i>Ann. N.Y. Acad. Sci.</i> 1018:533-540, New York Academy of Sciences (June 2004)	
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	NPL53	Harwerth, I.-M., <i>et al.</i> , "Monoclonal Antibodies against the Extracellular Domain of the erbB-2 Receptor Function as Partial Ligand Agonists," <i>J. Biol. Chem.</i> 267:15160-15167, The American Society for Biochemistry and Molecular Biology, Inc. (1992)	
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	NPL63	Li, S., <i>et al.</i> , "Blockade of Nogo-66, Myelin-Associated Glycoprotein, and Oligodendrocyte Myelin Glycoprotein by Soluble Nogo-66 Receptor Promotes Axonal Sprouting and Recovery after Spinal Injury," <i>J. Neurosci.</i> 24:10511-10520, Society of Neuroscience (November 2004)	
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	NPL65	Ma, L., <i>et al.</i> , "Ligand-Dependent Recruitment of the ErbB4 Signaling Complex into Neuronal Lipid Rafts," <i>J. Neurosci.</i> 23:3164-3175, Society of Neuroscience (2003)	
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	NPL71	Orlandi, R., <i>et al.</i> , "Cloning immunoglobulin variable domains for expression by the polymerase chain reaction," <i>Proc. Natl. Acad. Sci. USA</i> 86:3833-3837, National Academy of Sciences (1989)	
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	NPL73	Park, J.B., <i>et al.</i> , A TNF Receptor Family Member, TROY, is a Coreceptor with Nogo Receptor in Mediating the Inhibitory Activity of Myelin Inhibitors," Erratum in <i>Neuron</i> 3:815, Elsevier Inc. (March 2005)	
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	NPL76	Qiu, X.-B. and Goldberg, A.L., "Nrdp1/FLRF is a ubiquitin ligase promoting ubiquitination and degradation of the epidermal growth factor receptor family member, ErbB3," <i>Proc. Natl. Acad. Sci. USA</i> 99:14843-14848, National Academy of Sciences (2002)	
	NPL77	Rauchenberger, R., <i>et al.</i> , "Human Combinatorial Fab Library Yielding Specific and Functional Antibodies against the Human Fibroblast Growth Factor Receptor 3," <i>J. Biol. Chem.</i> 278:38194-38205, The American Society for Biochemistry and Molecular Biology, Inc. (2003)	
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	NPL80	Shah, B.H., <i>et al.</i> , "Role of EGF Receptor Transactivation in Phosphoinositide 3-Kinase-Dependent Activation of MAP Kinase by GPCRs," <i>J. Cell. Physiol.</i> 206:47-57, Wiley-Liss Inc. (January 2006)	
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	NPL83	Trapp, B.D., <i>et al.</i> , "Pathogenesis of tissue injury in MS lesions," <i>J. Neuroimmunol.</i> 98:49-56, Elsevier Science B.V. (1999)	
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	NPL85	Tzahar, E., <i>et al.</i> , "Bivalence of EGF-like ligands drives the ErbB signaling network," <i>EMBO J.</i> 16:4938-4950, Oxford University Press (1997)	
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	NPL88	Xu, W., <i>et al.</i> , "Chaperone-dependent E3 ubiquitin ligase CHIP mediates a degradative pathway for c-ErbB2/Neu," <i>Proc. Natl. Acad. Sci. USA</i> 99:12847-12852, National Academy of Sciences (2002)	
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	NPL91	NCBI Entrez, Accession No. AY324322, (first available May 4, 2004; last updated May 4, 2004)	
	NPL92	International Search Report for International Application No. PCT/US2004/008323, mailed on October 15, 2004, European Patent Office, Rijswijk, Netherlands	

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	NPL93	United States Patent Application No. 11/892,036, inventors Mi, <i>et al.</i> , continuation of PCT/US2006/026271, international filing date of July 7, 2006 (NOT PUBLISHED)	
	NPL94	United States Patent Application No. 12/092,662, inventors Mi, <i>et al.</i> , National Phase of PCT/US2006/042990, international filing date of November 3, 2006 (NOT PUBLISHED)	
	NPL95	United States Patent Application No. 12/095,857, inventors Mi, <i>et al.</i> , National Phase of PCT/US2006/045993, international filing date of December 1, 2006 (NOT PUBLISHED)	

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